

AUDIT COMMITTEE WORK PLAN 2012/13/14

1.0 WORK PLAN

- 1.1 This report details the draft work plan for the Audit Committee for 2012/13/14.
- 1.2 The work plan may evolve during the year, due to, for example, any changes in legislation, change relating to the External Auditor timetables, or new reports which need to be brought to the attention of the Committee.

2.0 FINANCIAL IMPLICATIONS:

- 2.1 There are no financial consequences directly arising from this report.

3.0 EQUALITY & DIVERSITY AND ENVIRONMENTAL MATTERS:

- 3.1 There are no equality and diversity or environmental matters directly associated with this report.

4.0 CRIME & DISORDER IMPLICATIONS:

- 4.1 There are no direct crime and disorder implications arising from this report, however the work plan will consider Fraud related policies for review.

5.0 RECOMMENDATIONS:

- 5.1 It is recommended that the Audit Committee considers and approves the Work Plan as appended and informs Officers of any requested changes.

For Further Information Contact:

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Audit Committee Work Plan

DATE	WORK / REPORTS
22 March 2013	External Auditor Reports (to be confirmed with new District Auditor) Treasury Management Q4 Internal Audit Progress report against the audit plan 12/13 Q4 (provisional) Outstanding high priority audit recommendations Internal Audit Strategy & Internal Audit Plan 13/14 Review of Policies including Whistleblowing, Anti-Fraud, Bribery and Corruption Response to the external auditor on the management and controls in the organisation Review of any other relevant policies as required Risk Management Insurance Audit
21 June 2013	Annual Work Program Provisional Outturn 12/13 Annual Report on the Treasury Management service and Actual Prudential Indicators 2012/13 Review of the Local Code of Good Governance Annual Auditors Report 2012/13 Annual Governance Statement Internal Audit Progress report against 13/14 Q1 Training Program
20 September 2013	External Auditor - Draft Governance Report External Auditor - Opinion of the Statement of Accounts & Value for Money Grant Claim Certificate Governance Action Plan Follow up Statement of Accounts Annual Governance Statement Treasury Management Q2 Financial Monitoring Report Q2 Internal Audit Progress report against the audit plan 13/14 Q2 Outstanding high priority audit recommendations
10 January 2014	External Auditor Reports (details to be confirmed) Treasury Management Report Q3 Financial Monitoring Q3 Internal Audit Progress report against the audit plan 13/14 Q3 Outstanding high priority audit recommendations
21 March 2014	External Auditor Reports (to be confirmed with new District Auditor) Treasury Management Q4 Internal Audit Progress report against the audit plan 13/14 Q4 (provisional) Outstanding high priority audit recommendations Internal Audit Strategy & Internal Audit Plan 14/15 Review of Policies including Whistleblowing, Anti-Fraud, Bribery and Corruption Response to the external auditor on the management and controls in the organisation Review of any other relevant policies as required

DATE WORK / REPORTS

June
2014

September
2014
